IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Applicants:	Date: August 31, 2004
Rigoutsos et al.	Group Art Unit: 2171
Serial No.: 10/724,585	Examiner: Not yet assigned
Filed: November 29, 2003	Docket No.: YOR920030620US1

For: METHOD AND APPARATUS FOR THE AUTOMATIC IDENTIFICATION OF UNSOLICITED E-MAIL MESSAGES (SPAM)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

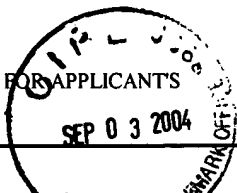## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

Dr. Daniel P. Morris, Esq.
Reg. No. 32,053
Phone No. (914) 945-3217

IBM CORPORATION
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: YOR920030620US1		SERIAL NO.: 10/724,585
	APPLICANT: Rigoutsos et al.		
	FILING DATE: 11/29/03		GROUP: 2171

SEP 0 3 2004

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						
	AD						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI							
	AJ							
	AK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
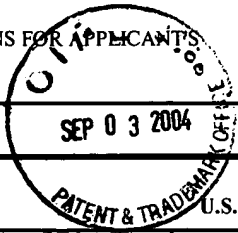
	AL	Schleimer et al. "WINNOWING: LOCAL ALGORITMS FOR DOCUMENT FINGERPRINTING," San Diego, CA, 2003.
	AM	Lillington, Karlin, "TEIRESIAS ON THE HACKER TRAIL," http://www.wired.com/news/technology/0,1282,15905,00.html.
	AN	Sahami et al. "A BAYESIAN APPROACH TO FILTERING JUNK E-MAIL," Stanford University, Standford, CA and Microsoft Word, Redmond, WA.
	AO	Yerazunis, "THE SPAM-FILTERING ACCURACY PLATEAU AT 99.9% ACCURACY ANDHOW TO GET PAST IT," 2004 MIT Spam Conference, January 18, 2004, MIT, Cambridge, MA.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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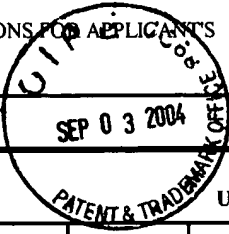| EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
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	AK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AL	Wespi et al. "AN INTRUSION-DETECTION SYSTEM BASED ON THE TEIRESIAS PATTERN-DISCOVERY ALGORITHM," Eicar Proceedings, 1999.
	AM	Shibuya et al. "DICTIONARY-DRIVEN PROKARYOTIC GENE FINDING," Nucleic Acids Research, Vol.30, No.12, 2002, Oxford University Press.
	AN	Rigoutsos et al. "DICTIONARY-DRIVEN PROTEIN ANNOTATION, Nucleic Acids Research, Vo. 30, No. 17, 2002, Oxford University Press.
	AO	Damashek, M. "GAUGING SIMILARITY WITH N-GRAMS: LANGUAGE-INDEPENDENT CATEGORIZATION OF TEXT, " Science, Vol. 267, February 10, 1995.

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	AL	Stolfo et al. "A BEHAVIOR-BASED APPROACH TO SECURING EMAIL SYSTEMS," Fu Foundation School of Engineering & Applied Science, Columbia University, NY.
	AM	
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	AO	

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